

Anti-Money Laundering Disclosure

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT OR ESTABLISHING A NEW CUSTOMER RELATIONSHIP

To help the U.S. government fight the funding of terrorism and money laundering activities, Federal law requires all U.S. financial institutions to obtain, verify, and record information that identifies each individual or institution that opens an account or establishes a customer relationship with Fortrend Securities Inc.

What this means: If you enter into a new customer relationship with Fortrend Securities Inc, the Firm will ask for your name, address, and other identification information which will be used to verify your identity. As appropriate, the Firm may, in its discretion, ask for additional documentation or information. In order to open an account or establish a relationship with you, all required documentation or information requested by Fortrend Securities Inc must be provided.