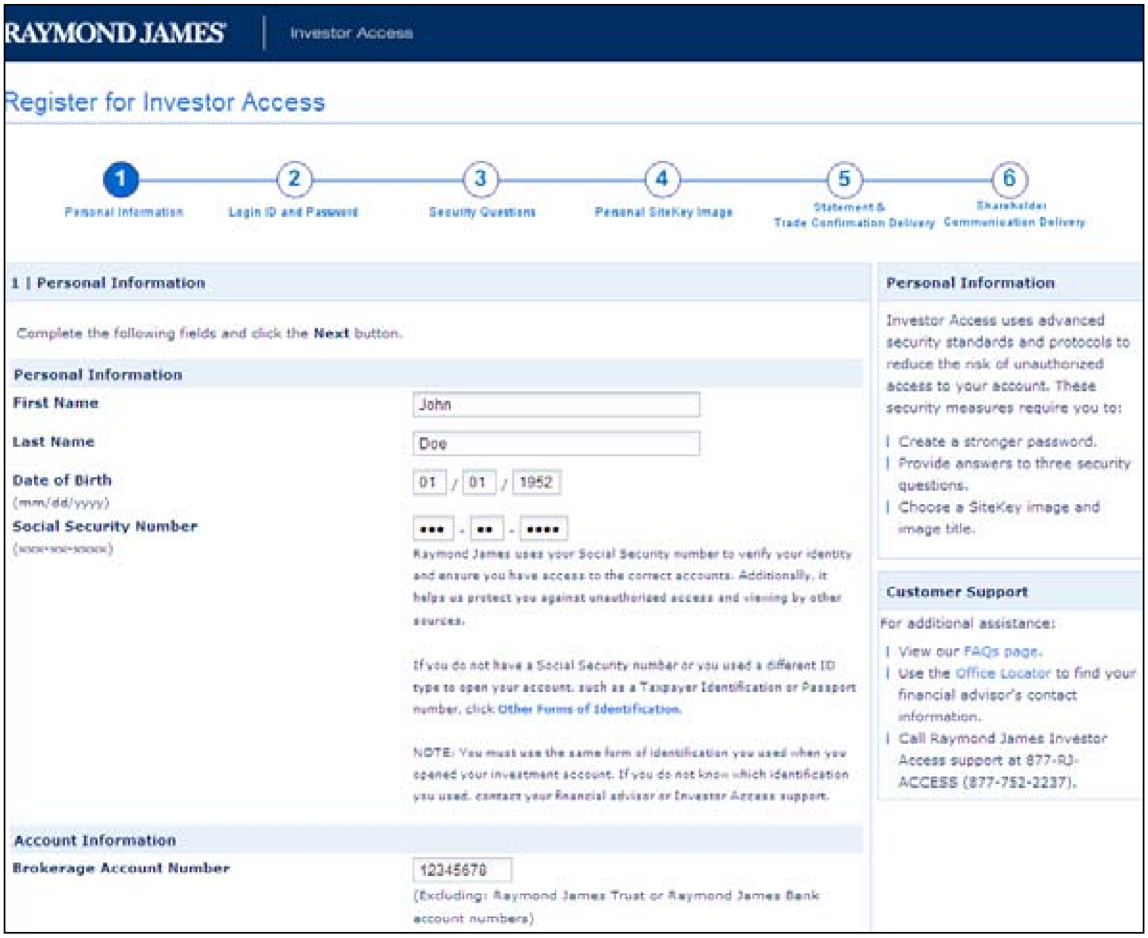
Enrolling in Investor Access

Before enrolling in Investor Access, make sure you have with you one of your last two Raymond James brokerage account statements – you will need your brokerage account number to enroll.

To enroll in Investor Access, click the **Enroll in Investor Access** link.

The first step of the enrollment process appears.

STEP 1 – PERSONAL INFORMATION


RAYMOND JAMES | Investor Access

Register for Investor Access

1 Personal Information
2 Login ID and Password
3 Security Questions
4 Personal SiteKey Image
5 Statement & Trade Confirmation Delivery
6 Shareholder Communication Delivery

1 | Personal Information

Complete the following fields and click the **Next** button.

Personal Information

First Name	John
Last Name	Doe
Date of Birth (mm/dd/yyyy)	01 / 01 / 1952
Social Security Number (xxx-xx-xxxx)	••• - •• - ••••

Raymond James uses your Social Security number to verify your identity and ensure you have access to the correct accounts. Additionally, it helps us protect you against unauthorized access and viewing by other sources.

If you do not have a Social Security number or you used a different ID type to open your account, such as a Taxpayer Identification or Passport number, click Other Forms of Identification.

NOTE: You must use the same form of identification you used when you opened your investment account. If you do not know which identification you used, contact your financial advisor or Investor Access support.

Account Information

Brokerage Account Number	12345678

(Excluding: Raymond James Trust or Raymond James Bank account numbers)

Personal Information

Investor Access uses advanced security standards and protocols to reduce the risk of unauthorized access to your account. These security measures require you to:

- Create a stronger password.
- Provide answers to three security questions.
- Choose a SiteKey image and image title.

Customer Support

For additional assistance:

- View our FAQs page.
- Use the Office Locator to find your financial advisor's contact information.
- Call Raymond James Investor Access support at 877-RJ-ACCESS (877-752-2237).

1. Complete your personal information – first name, last name, date of birth and Social Security number. If you do not have a Social Security number or you used a different form of identification, click the **Other Forms of Identification** link and select the ID type you used to open your investment account. For example, if you opened your account using your Social Security number, you must use your Social Security number in this registration process. If you do not know which identification you used, contact your financial advisor or Investor Access support.


Date of Birth (mm/dd/yyyy)   / /

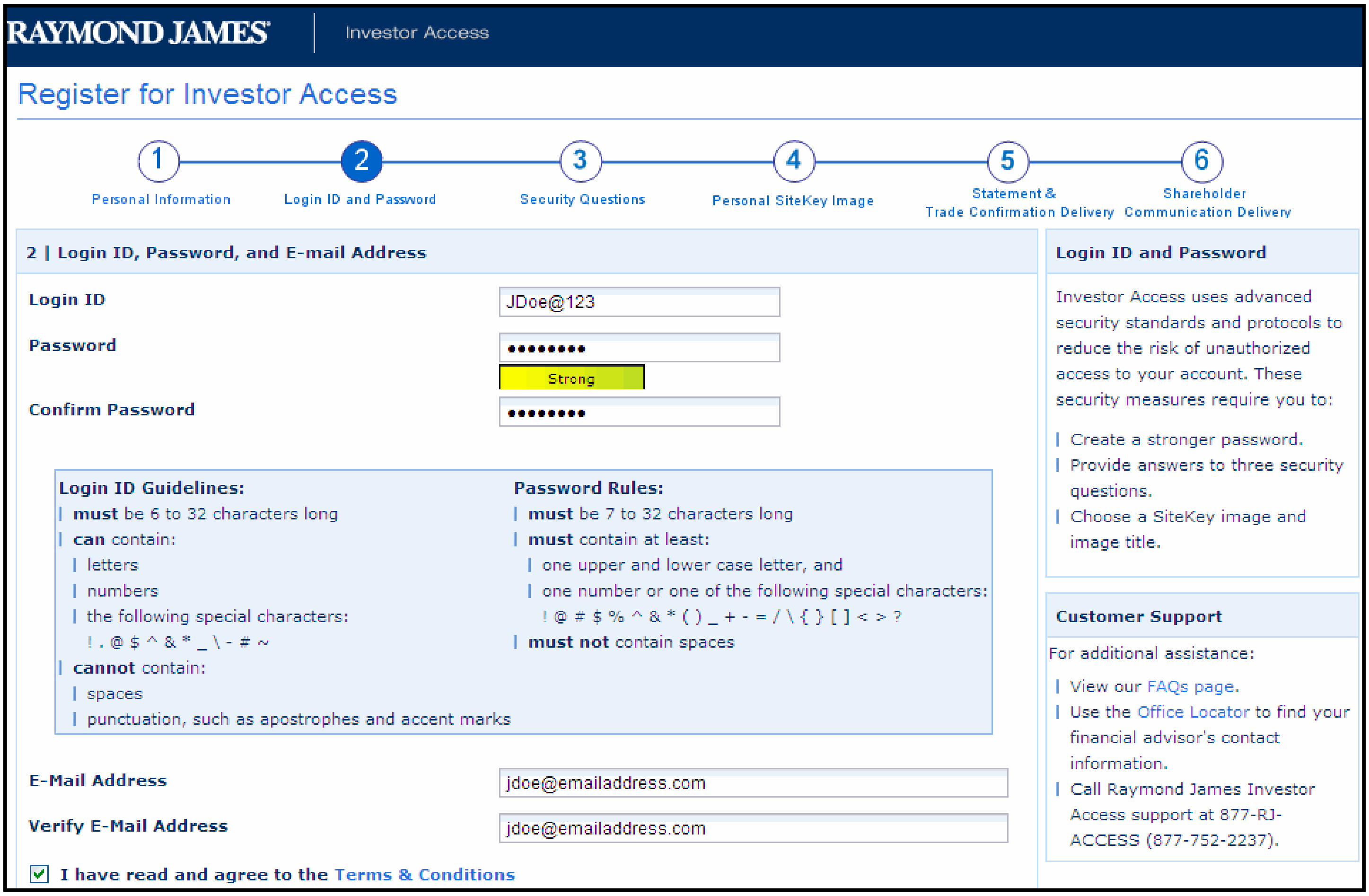
Document
Please select the type of ID used to verify your identity.

- Please Select A Government ID -
- Please Select A Government ID -
Social Security Number
United States - Tax Identification Number
Government Issued Passport
Government Issued Green Card

Government ID
For example: a passport number, citizenship number, or government issued tax ID.

2. Type your brokerage account number in the **Brokerage Account Number** field. If you do not have your account number, contact your financial advisor, or call Investor Access Support at 877-RJ-Access (877-752-2237).
3. Click the **Next** button to continue to step 2 of the enrollment process.

STEP 2 – LOGIN ID AND PASSWORD



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2 | Login ID, Password, and E-mail Address

Login ID JDoe@123

Password •••••••• Strong

Confirm Password ••••••••

Login ID Guidelines:

- **must** be 6 to 32 characters long
- **can** contain:
  - letters
  - numbers
  - the following special characters: ! . @ $ ^ & * _ \ - # ~
- **cannot** contain:
  - spaces
  - punctuation, such as apostrophes and accent marks

Password Rules:

- **must** be 7 to 32 characters long
- **must** contain at least:
  - one upper and lower case letter, and
  - one number or one of the following special characters: ! @ # $ % ^ & * ( ) _ + - = / \ { } [ ] < > ?
- **must not** contain spaces

E-Mail Address jdoe@emailaddress.com

Verify E-Mail Address jdoe@emailaddress.com

☑ **I have read and agree to the Terms & Conditions**

Login ID and Password

Investor Access uses advanced security standards and protocols to reduce the risk of unauthorized access to your account. These security measures require you to:

- Create a stronger password.
- Provide answers to three security questions.
- Choose a SiteKey image and image title.

Customer Support

For additional assistance:

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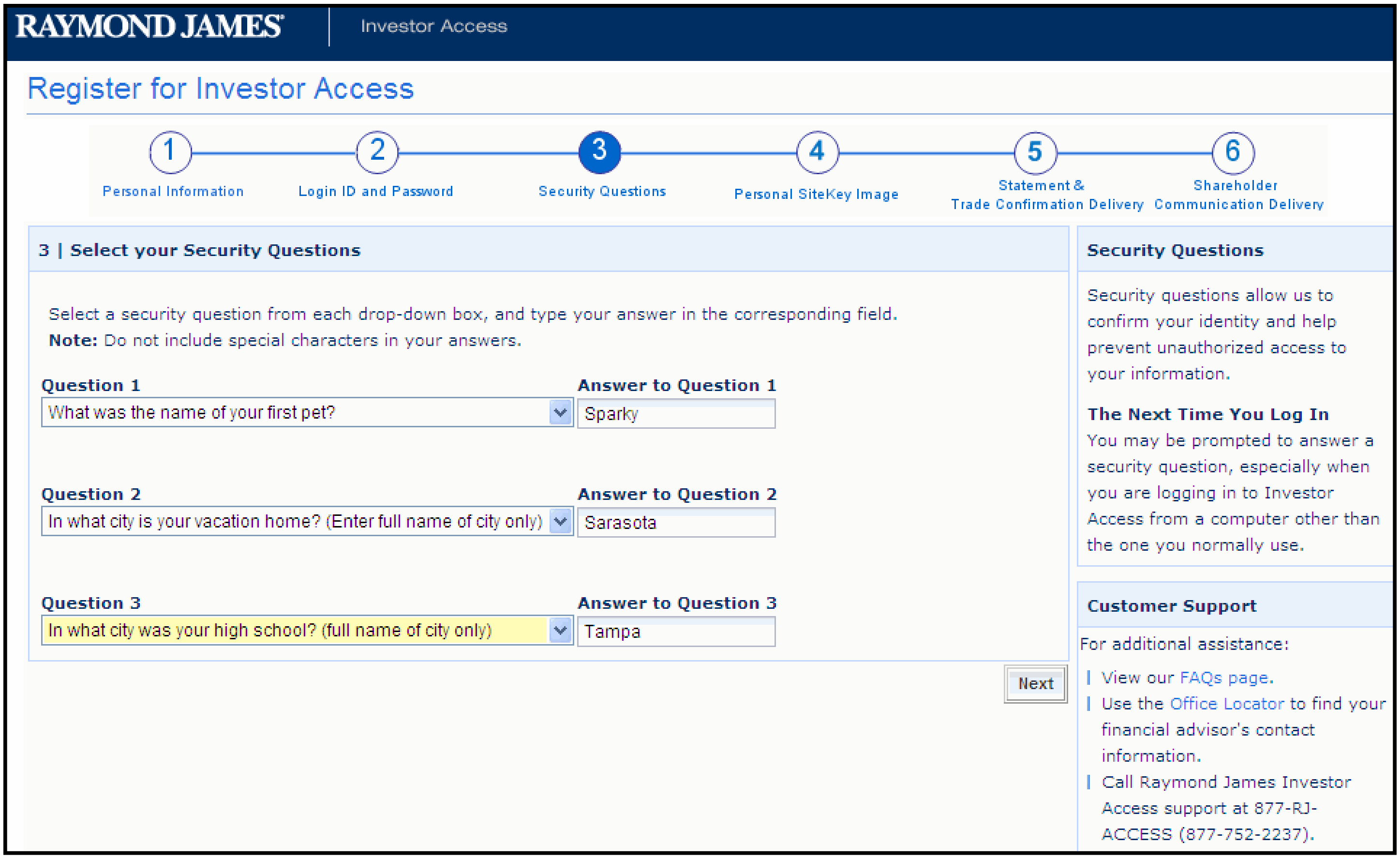
- Use the Office Locator to find your financial advisor's contact information.
- Call Raymond James Investor Access support at 877-RJ-ACCESS (877-752-2237).

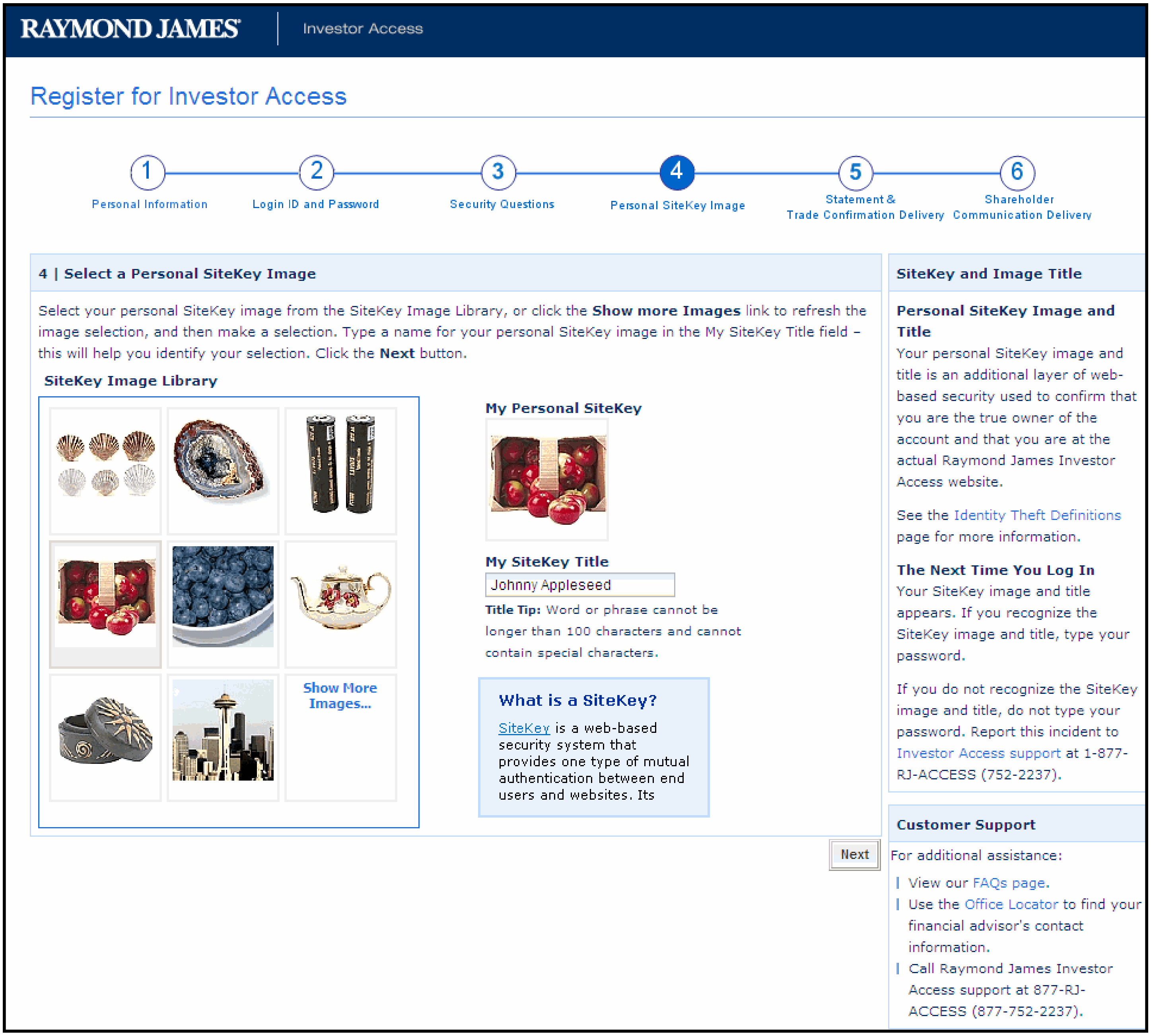
1. Type a login ID in the **Login ID** field. Follow the login ID guidelines on the screen.
2. Type a password in the **Password** field. Follow the password rules on the screen and use the password strength meter to determine if your password is strong enough.
3. Retype your password in the **Confirm Password** field.
4. Type your e-mail address in the **E-mail Address** field.
5. Retype your e-mail address in the **Verify E-mail Address** field.
6. Click the **Terms & Conditions** link and review the terms and conditions.
7. To continue, select the **I have read and agree to the Terms & Conditions** check box.
8. Click the **Next** button to continue to step 3 of the enrollment process.

STEP 3 – SECURITY QUESTIONS



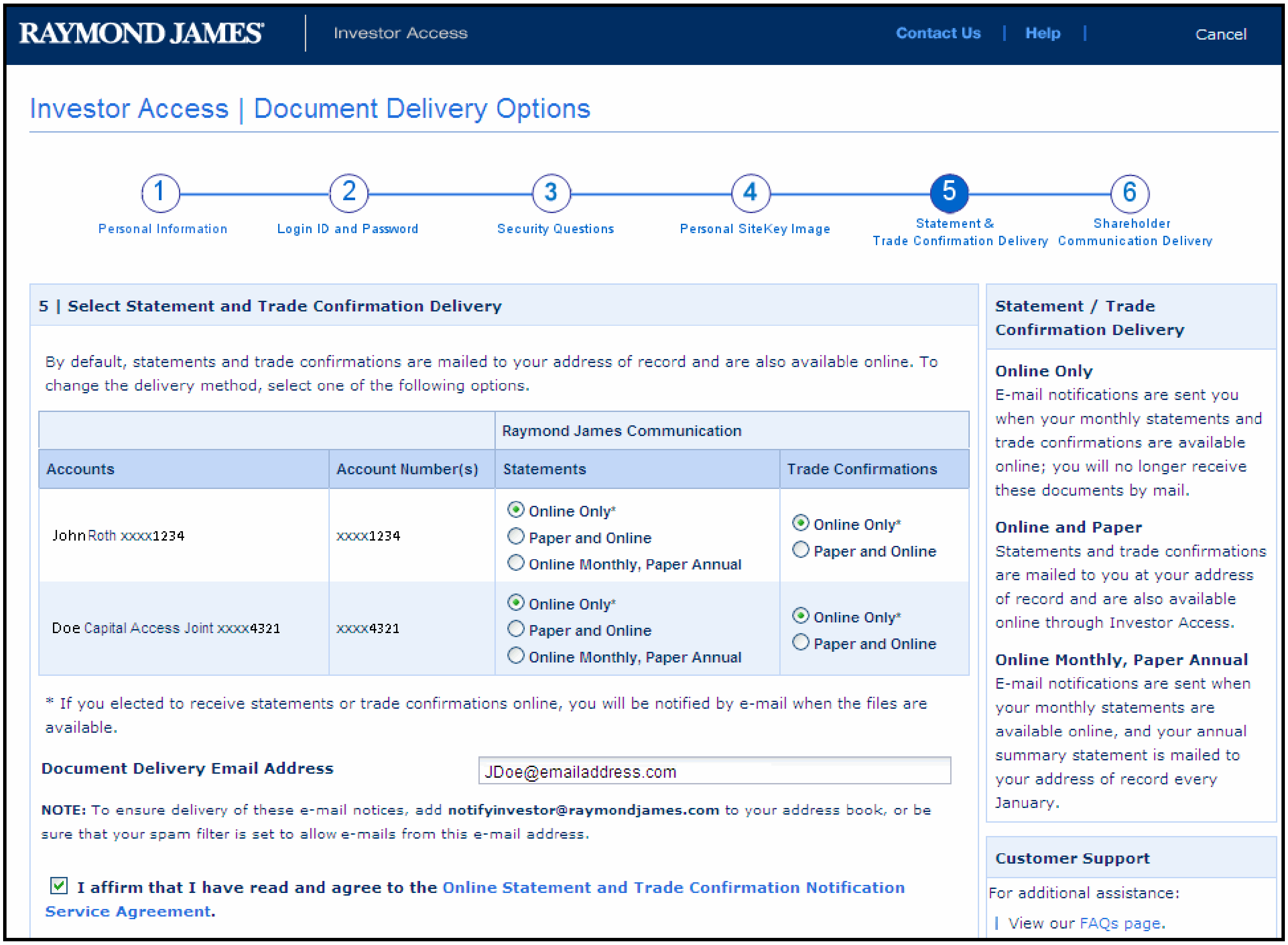
1. Select a security question from each of the question drop-down boxes and type the answers in the corresponding fields.
   Tip: Choose a question and answer that are meaningful and easy to remember, but difficult for others to guess.
2. Click the **Next** button to continue to step 4 of the enrollment process.

STEP 4 – SITEKEY AND IMAGE TITLE



1. Select an image from the SiteKey Image Library. If you want to see more images, click the **Show More Images** link.
2. Type an easily recognizable title, no more than 100 characters (excluding special characters), in the **My SiteKey Title** field. For example, if you select a picture of a bag of apples, the title could be Johnny Appleseed.
3. Click the **Next** button to continue to step 5 of the enrollment process.

STEP 5 – STATEMENT AND TRADE CONFIRMATION DELIVERY



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Investor Access | Document Delivery Options

1 Personal Information — 2 Login ID and Password — 3 Security Questions — 4 Personal SiteKey Image — 5 Statement & Trade Confirmation Delivery — 6 Shareholder Communication Delivery

5 | Select Statement and Trade Confirmation Delivery

By default, statements and trade confirmations are mailed to your address of record and are also available online. To change the delivery method, select one of the following options.

		Raymond James Communication	
Accounts	Account Number(s)	Statements	Trade Confirmations
John Roth xxxx1234	xxxx1234	Online Only* / Paper and Online / Online Monthly, Paper Annual	Online Only* / Paper and Online
Doe Capital Access Joint xxxx4321	xxxx4321	Online Only* / Paper and Online / Online Monthly, Paper Annual	Online Only* / Paper and Online

* If you elected to receive statements or trade confirmations online, you will be notified by e-mail when the files are available.

Document Delivery Email Address JDoe@emailaddress.com

NOTE: To ensure delivery of these e-mail notices, add **notifyinvestor@raymondjames.com** to your address book, or be sure that your spam filter is set to allow e-mails from this e-mail address.

☑ **I affirm that I have read and agree to the Online Statement and Trade Confirmation Notification Service Agreement.**

Statement / Trade Confirmation Delivery

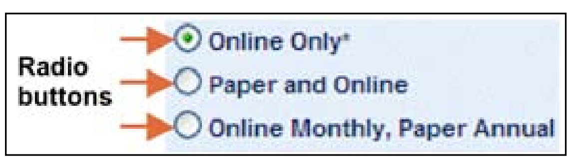
Online Only
E-mail notifications are sent you when your monthly statements and trade confirmations are available online; you will no longer receive these documents by mail.

Online and Paper
Statements and trade confirmations are mailed to you at your address of record and are also available online through Investor Access.

Online Monthly, Paper Annual
E-mail notifications are sent when your monthly statements are available online, and your annual summary statement is mailed to your address of record every January.

Customer Support
For additional assistance:
| View our FAQs page.

1. Select the preferred delivery method for your statements and trade confirmations for each of your accounts. For example, if you want to receive your statements and trade confirmations online only, select the **Online Only** radio buttons.



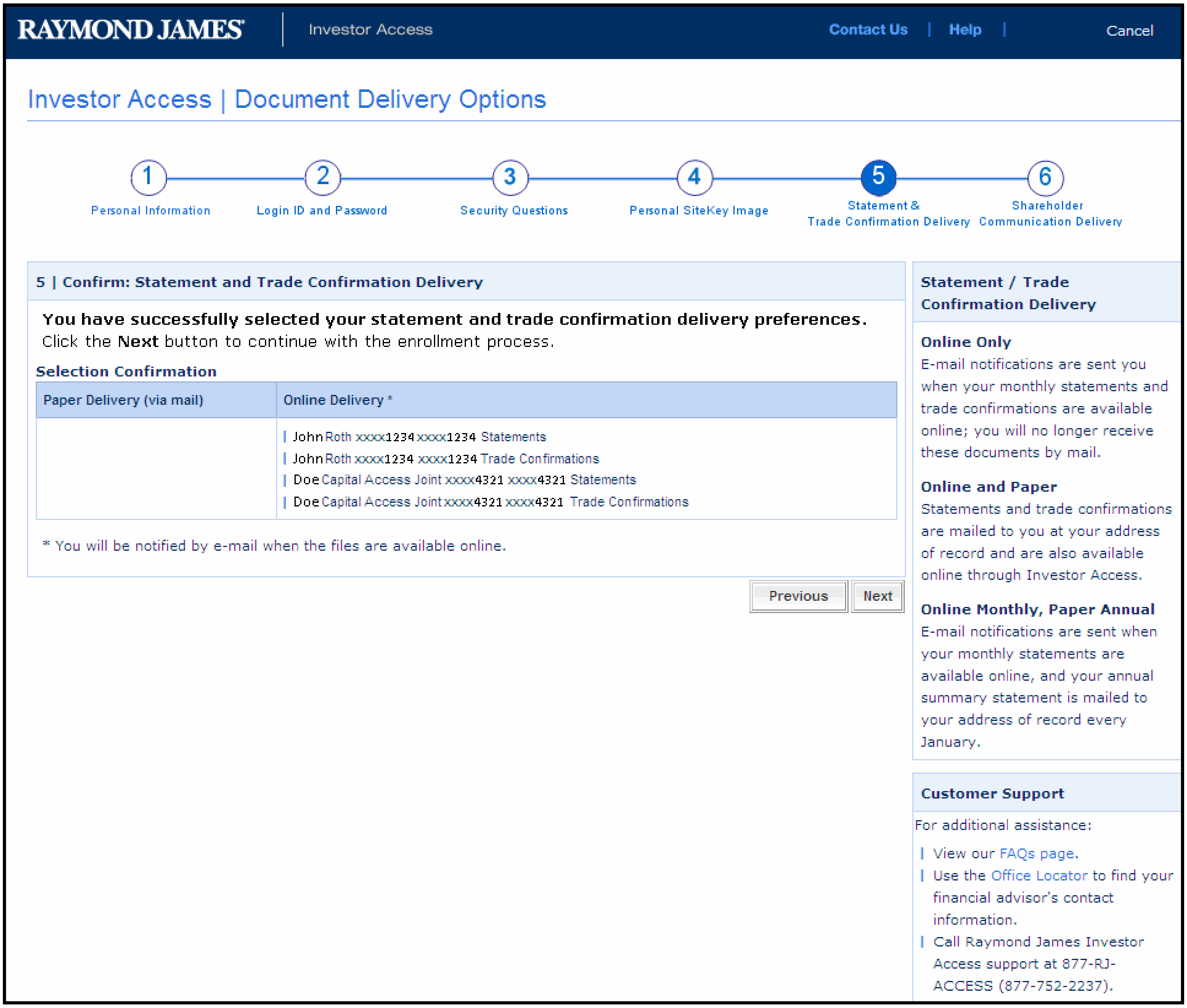
Note: If you are not sure what is entailed in each delivery method, read the descriptions located on the right side of the screen.

2. Type your e-mail address in the **Document Delivery E-mail Address** field. This e-mail address will be the only e-mail address to receive e-mails notifying you that your statements and trade confirmations are available. Any other types of Investor Access-related e-mails, such as password and security notification e-mails, will be sent to the e-mail address you provided in step 2 of the enrollment process. (**Note:** You don't need to provide different e-mail addresses if you prefer all your notifications to go to the same address.)
3. Click the **Online Statement and Trade Confirmation Notification Service Agreement** link and read the agreement.

4. Select the check box to affirm that you have read and agree to the terms.

5. Click the **Next** button.

The Confirm: Statement and Trade Confirmation Delivery screen appears.

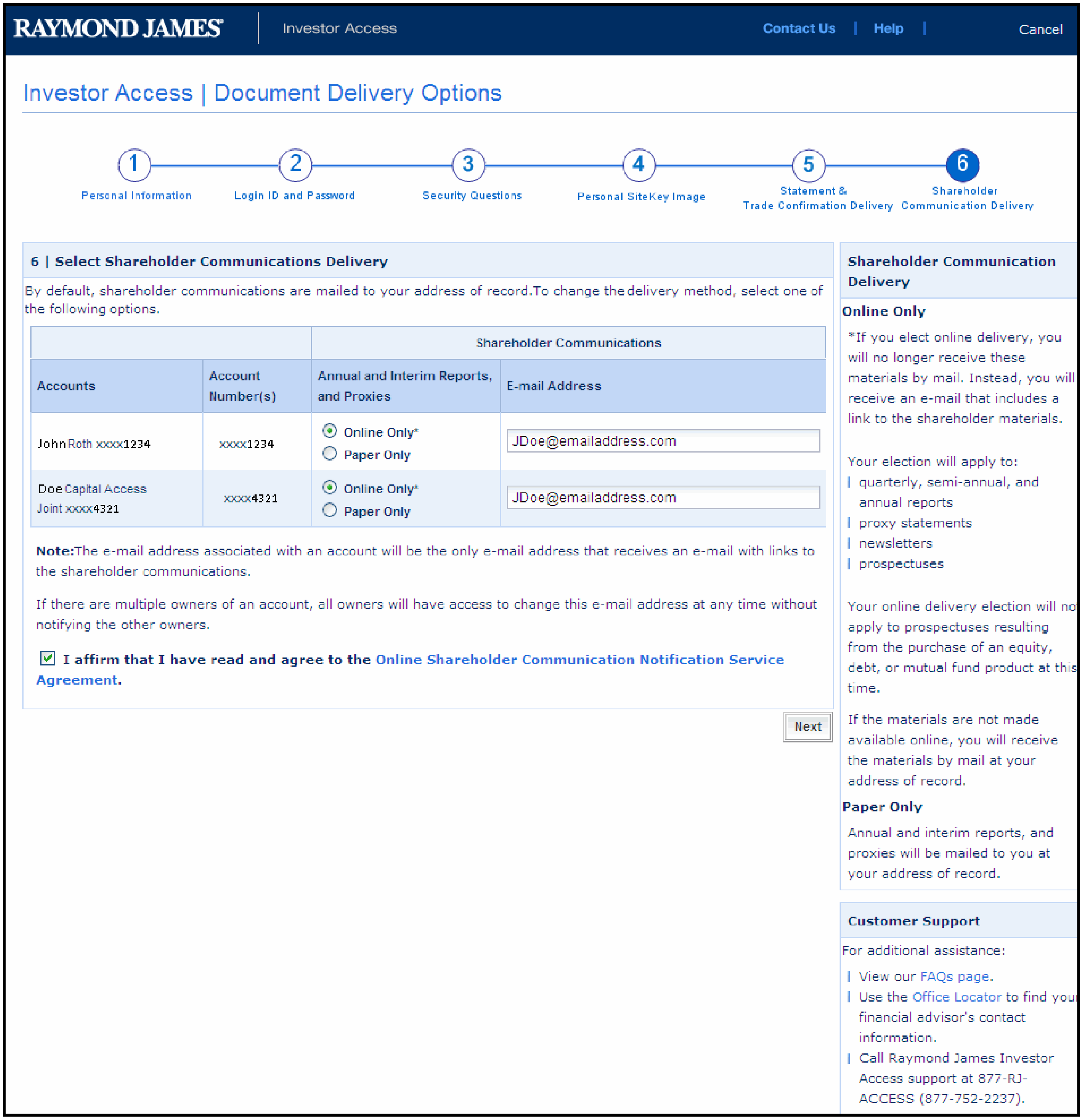


6. Verify that your delivery choices conform to your preferred delivery methods for receiving your statements and trade confirmations.

7. Do one of the following:

- If you accept the confirmation, click the **Next** button to continue to step 6 of the enrollment process.
- If you need to make changes, click the **Previous** button to return to the Statement and Trade Confirmation Delivery screen.

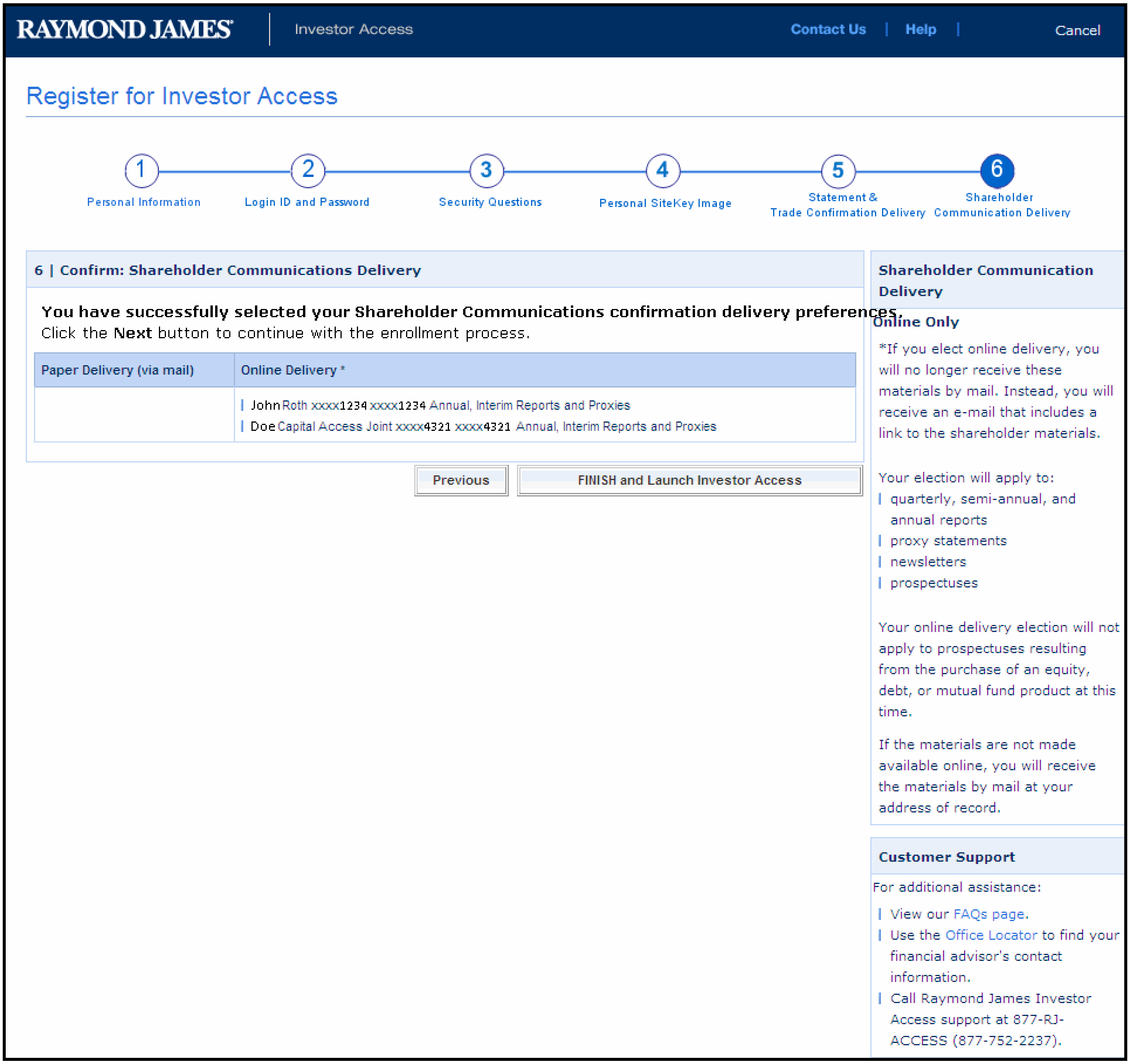
STEP 6 – SHAREHOLDER COMMUNICATION DELIVERY



1. Select the preferred delivery method for shareholder materials for each of your accounts.
   Note: There are two delivery choices – Online Only or Paper Only.
2. Type an e-mail address in the corresponding fields.
   Note: You cannot include multiple e-mail addresses for the same account. If more than one account holder needs to view shareholder materials, the account holder who receives the e-mail should share it with other account holders.
3. Click the **Online Shareholder Communication Notification Service Agreement** link and read the agreement.

4. Select the check box to affirm that you have read and agree to the terms.

5. Click the **Next** button.
   The Confirm: Shareholder Communications Delivery screen appears.



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6 | Confirm: Shareholder Communications Delivery

You have successfully selected your Shareholder Communications confirmation delivery preferences.
Click the **Next** button to continue with the enrollment process.

Paper Delivery (via mail)	Online Delivery *
	John Roth xxxx1234 xxxx1234 Annual, Interim Reports and Proxies Doe Capital Access Joint xxxx4321 xxxx4321 Annual, Interim Reports and Proxies

Previous | FINISH and Launch Investor Access

Shareholder Communication Delivery

Online Only

*If you elect online delivery, you will no longer receive these materials by mail. Instead, you will receive an e-mail that includes a link to the shareholder materials.

Your election will apply to:
- quarterly, semi-annual, and annual reports
- proxy statements
- newsletters
- prospectuses

Your online delivery election will not apply to prospectuses resulting from the purchase of an equity, debt, or mutual fund product at this time.

If the materials are not made available online, you will receive the materials by mail at your address of record.

Customer Support

For additional assistance:
- View our FAQs page.
- Use the Office Locator to find your financial advisor's contact information.
- Call Raymond James Investor Access support at 877-RJ-ACCESS (877-752-2237).

6. Click the **FINISH and Launch Investor Access** button.
   Your enrollment is complete. Investor Access opens and displays your account information.

RAYMOND JAMES®